The effect of drought on energy and water vapour exchange above a Mediterranean C3/C4 grassland in Southern Portugal

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1. Introduction

The terrestrial surface energy balance is influenced by vegetation and drives not only the local, but also the regional and global climate (Raupach, 1998; Zeng and Neelin, 2000). Grasslands play an important role in the exchange of water vapour and energy between the biosphere and the atmosphere as they comprise about one third of the world’s area of natural vegetation (Adams et al., 1990). In Portugal, grasslands cover about 15% of the territory, largely in the south, where a Mediterranean type of climate prevails. Climate change scenarios for the Mediterranean region, and especially for Portugal, suggest an increase in mean air temperature and more frequent and prolonged droughts (Miranda et al., 2002). There is therefore value in understanding how climate variability, particularly reductions in precipitation and shifts in its seasonality, influences energy...
partitioning in Mediterranean grasslands, not only to improve our knowledge of the mechanisms that control energy and water fluxes, but also to anticipate possible impacts of the climate change scenarios and to give the modellers a better basis to improve and validate their models.

Water and energy exchanges on seasonal and/or annual time scales have been documented for temperate C3/C4 grasslands (Burba and Verma, 2001, 2005; Meyers, 2001; Wever et al., 2002) and semi-arid C3/C4 grasslands (Li et al., 2006). In Mediterranean ecosystems, only Baldocchi et al. (2004) have reported continuous measurements of water and energy fluxes from an annual grassland with a C3 plant community. However, there is a lack of information on how a mixture of C3/C4 species influences the water and energy exchanges in Mediterranean climates.

Whereas Mediterranean C3 grasslands are dominated by annual plants and have no vegetation during the dry season, the presence of warm-season C4 species allows C3/C4 grasslands to remain active during most of the summer. In Southern Portugal, Bermuda Grass (Cynodon dactylon L.) is an invasive species in some of the semi-natural grasslands. This perennial C4 plant prospers mainly late in the season and has been shown to be relatively drought-tolerant and deep-rooted, concentrating about 40% of the root biomass in the top 20–25 cm below the soil surface (Huang et al., 1997; Mamoilos et al., 2001; Vignolio et al., 2005). The length and area of its root system increase under water deficits (Vignolio et al., 2002), thus enhancing the capability to absorb water from deeper soil horizons. For example, in the conditions of Mediterranean climate, Mamoilos et al. (2001) found that the abundance of C. dactylon increased in the field, even at very low values of soil matric potential in the upper soil layer. Thus, compared with Mediterranean C3 grasslands, C3/C4 grasslands may have higher evapotranspiration during the summer, thus enhancing soil water depletion.

The objectives of this investigation were to (1) examine and quantify the seasonal and interannual variation in energy and water vapour exchange and (2) assess the response of surface conductance and evapotranspiration to changes in the most relevant physical and physiological factors. As it turned out, precipitation in the period of measurement, between 2004 and 2006, varied substantially: the hydrological year (i.e., the period from 1 October to 30 September of the next year) of 2004–2005 was very dry, whereas 2005–2006 was normal. In fact, the water deficits during 2004–2005 – the driest episode in the last 140 years in western Iberia – strongly affected negatively gross primary production (Pereira et al., 2007). This allowed us to investigate further the effects of drought on water vapour fluxes and energy partitioning in a Mediterranean grassland.

2. Material and methods

2.1. Site description

The study area is a semi-natural grassland located in Monte do Tojal, Évora, in Southern Portugal (38°28′28″ N; 8°01′25″ W; 190 m a.s.l.) and was established in June of 2004 as a part of the Carboeurope IP project.

The soil is a Luvisol (FAO), containing 20% clay, 71% sand and 9% silt, and overlays a fractured rock. The soil profile (about 90 cm deep) presents a relatively dense layer of clay between 25 and 50 cm depth. The bulk density of the upper 30 cm of the soil profile is around 1640 ± 80 kg m⁻³ (n = 16).

The climate is Mediterranean with hot, dry summers and mild, wet winters. Long-term (1951–1980) mean annual air temperature and mean annual precipitation are 15.5 °C and 669 mm, respectively (INMG, 1991). The study was conducted between 2004 and 2006 and analyzed in hydrological years: 2004–2005 from day of the year (DOY) 275 in 2004 to DOY 273 of 2005; 2005–2006 from DOY 274 in 2005 to DOY 273 of 2006. These periods correspond approximately to the annual cycle of the vegetation.

The dominant herbaceous species at the site are annuals (cold-season C3 grasses). As often occurs the native plant community has been invaded by a warm-season perennial C4 grass, C. dactylon (L.) Pers. The C3 grassland community was dominated by Avena barbata Link subsp. Lusitania (Tab. Mor.) Romero Zarco, Vulpia bromoides (L.) S.F. Gray, Vulpia geniculata (L.) Link, Medicago sativa L., Medicago polymorpha L., Trifolium resupinatum L., Trifolium subterraneum L., Ornthopus compressus L., Chaamaeleum mixtum (L.) All., Parentucellia viscosa (L.) Caruel and Crepis vesicaria L. The C3 grassland community began to grow with the first rain events in autumn and senesced about mid-spring. Shoots of the C4 grass began growth in late winter and died-out in early autumn. The whole area within the tower footprint was normally grazed during the autumn and early winter, one or two times per week with a stocking density of 60 sheep/ha.

2.2. Field measurements

The water vapour and sensible heat fluxes were continuously measured using an eddy covariance system at a height of 2.5 m. The system consisted of a 3D sonic anemometer (model 1210R3, Gill Instruments Ltd., Lymington, UK) and an open-path infrared gas analyzer (IRGA, model LI-7500, LI-COR Inc., Lincoln, NE, USA) to measure the three components of the wind velocity, the sonic temperature and the densities of water vapour and CO₂, respectively. The IRGA was placed with a 30° tilt angle to minimize accumulation of dust and water droplets on the windows. Calibration of the IRGA was done once a month using nitrogen gas and 350 ppm CO₂ gas to calibrate the CO₂ and water vapour zeros and the span of CO₂, respectively. The span of water vapour was calibrated with dew point values estimated from air temperature and relative humidity, using conventional physical formulations. Real-time data were acquired at 20 Hz sampling rate using the software Eddyplus (MeteoTools, Jena, Germany) and stored on a laptop computer for later processing.

Continuous measurements also included standard climatological and soil parameters. Air temperature and relative humidity were measured at the height of 1.5 m with a temperature/humidity probe (MP300, Campbell Scientific Ltd., Shepshed, UK). The all-wave radiation components, incoming and outgoing longwave radiation (CG-3, Kipp & Zonen, Delft, NL), incoming shortwave radiation (Casella solarimeter, Casella London Ltd., Kempston, UK) and outgoing shortwave radiation (Starpyranometer 8101, Philipp Schenk, Wien, AT) were also measured at the height of 1.5 m. Longwave radiation was only measured from January 2005 onwards. Soil temperature at the
depths of 2, 10 and 20 cm was measured by Platinum Resistance Thermometers. One soil heat flux plate (HFT-3, Campbell Scientific Ltd., Shephed, UK) was buried at the depth of 8 cm and the soil heat storage above the plate was added to the final calculation of the soil heat flux. Soil volumetric water content at depths of 2, 15 and 30 cm was measured using frequency domain reflectometer probes (ML2x, Delta-T Devices, Burwell, Cambridge, UK). Precipitation was measured with a tipping bucket raingauge (ARG100, Environmental Measurements Ltd., Gateshead, UK). The output signals of the equipment above were scanned by a data-logger (DT-605, Datataker Ltd., Cambridge, UK) and the 30-min averages were subsequently sent and stored on a laptop computer.

Leaf area index (LAI) was determined at about 1-month intervals from April 2005 to September 2006. However, during the period of fast plant growth the sampling frequency was increased to about twice per month. On each sampling date, six patches each of 0.063 m² area were harvested and the green leaves were removed from the stems. In the laboratory, the green leaves were scanned using a common scanner and the area was determined by appropriate software (Signamscan, Systat Software UK Ltd., London, UK).

Aboveground biomass was also determined using generally the same material that had been harvested for the LAI determination. The green plant parts were separated from the dead plant material and their biomass was determined gravimetrically after the samples had been dried for 72 h at 65 °C. The total aboveground biomass was hence calculated.

### 2.3. Data processing and flux computation

The half-hourly fluxes of sensible heat (H) and water vapour (E) were determined by the eddy covariance method as follows (e.g., Fuehrer and Frihe, 2002):

\[
H = \rho c_p \overline{w' T'} \quad (1)
\]

\[
E = \overline{w' \rho_v' r_v} \quad (2)
\]

where \( \rho \) is the mean air volumic mass (kg m⁻³), \( c_p \) is the specific heat capacity of the air at constant pressure (J kg⁻¹ K⁻¹), \( w' \) is the fluctuation of the vertical wind speed (m s⁻¹), \( T' \) is the fluctuation of temperature (K) and \( \rho_v' \) is the fluctuation of water vapour volumic mass (mmol m⁻³). The overbars denote time averaging. The latent heat flux (\( \lambda E \)) was then calculated by multiplying the water vapour flux by the latent heat of vaporization of water (\( \lambda \)) and the water molecular mass. These calculations included coordinate rotation 2D, spikes detection and removal similar to Vickers and Mahrt (1997) and check for instantaneous records exceeding realistic absolute limits. In addition, sensible heat fluxes were corrected for humidity fluctuations (Liu et al., 2001) and the air density fluctuations were taken into account to correct the fluxes of water vapour (Webb et al., 1980).

### 2.4. Data quality control and gap-filling

The available data sets were screened to remove any anomalous half-hourly fluxes that resulted from malfunction of the sensors. First, using an approach similar to Rogiers et al. (2005), the fluxes of water vapour were discharged whenever the measured H₂O concentration differed by more than 30% from that estimated from relative humidity data, using conventional physical formulations. Those cases were related to periods when rain, dew, dust, birds using the instrument as a roost caused significant interference to the optical path of the open-path analyzer. Second, the fluxes of water vapour were excluded if the removed spikes or the absolute limit violations exceeded 1% of the total records of any of the three components of wind velocity and/or H₂O concentration; sensible heat fluxes were also filtered using a similar reasoning for the three components of wind velocity and/or sonic temperature.

After this filtering process, the remaining data sets of sensible heat and water vapour fluxes were subsequently submitted to data quality tests, the integral turbulence characteristics and stationarity tests (Foken and Wichura, 1996). Whenever the mean covariance of six intervals of a time series deviated by more than 50% of the value of the covariance for the full period, the mean flux was considered non-stationary and hence excluded from the analysis. The integral characteristics of the vertical wind \((v/u)\) were assessed to test the development of turbulent conditions.

Thus, if the measured value deviated by more than 50% of the modelled result, the turbulence was not considered well developed and the mean flux was removed. Data gaps during the whole study period, due to missing and rejected data, were about 40% and 26% for water vapour and sensible heat flux, respectively. In both cases, around 70% of the total data gaps occurred during nocturnal periods.

In this study, we examined the energy balance closure which is considered an independent method to assess the reliability of the eddy covariance measurements (Wilson et al., 2002). For short vegetation, the energy balance closure can be written as (e.g., Kato et al., 2004; Li et al., 2006):

\[
H + \lambda E = R_n - G 
\]

where \( R_n \) and \( G \) are the net radiation and the soil heat flux, respectively. After performing a linear regression between the eddy fluxes \((H + \lambda E)\) and the available energy \((R_n - G)\), using half-hourly values, the intercept, slope and coefficient of determination \((R^2)\) for the year 2005 were 11.47 W m⁻², 0.81 and 0.95 \((P < 0.0001)\), respectively. These results suggest that the eddy covariance measurements underestimated \(H + \lambda E\) by 19%. A slight degradation (1%) in the energy balance closure was observed during 2006. Although, the energy balance closure is not perfect, it is within the normal range found in most studies. Several reasons have been put forward to explain the energy imbalance (Twine et al., 2000; Wilson et al., 2002), but identifying and quantifying all its sources is rather difficult. For the case of this study, part of the imbalance may be related to the measurements/estimations of \(H\) and \(\lambda E\). For example, we did not apply an angle-of-attack dependent calibration for the sonic anemometer, which has been shown to increase \(H\) and \(\lambda E\) by about 5% for smooth canopies (see van der Molen et al., 2004). The remainder of the imbalance is, however, believed to be related to the measurements of \(R_n\) and \(G\). We used only one soil heat flux plate, which is likely to be too small a sample as \(G\) is expected to vary with location on the ground. Moreover, the fact
that the vegetation in the measurement point of \( R_n \) and \( G \) was slightly higher and denser (the area was fenced and thus not affected by grazing) is also expected to explain some of the imbalance because \( R_n - G \) tend to be higher in such conditions (see Bremer et al., 2001). However, using daily values (where \( G \) is close to zero) in the regression for the whole study period, the energy imbalance decreased to 12%. Also, the energy balance ratio (EBR) (Wilson et al., 2002) for the whole study period indicated a lower underestimation (EBR = 0.87).

To evaluate the contribution of the study area to the total flux measured by the eddy covariance system, the forward Lagrangian stochastic trajectory model by Rannik et al. (2003) was used. The approach for site evaluation is described in Gockede et al. (2006). Even using a conservative vegetation height (0.05 m) as input, the results showed that for all atmospheric stability conditions the measurements were representative of the area intended to be observed.

Complete data sets of sensible heat and water vapour fluxes were created using various gap-filling approaches. Missing time periods of water vapour were filled following the methodology proposed by Reichstein et al. (2005) and missing sensible heat flux measurements were replaced using the method of mean diurnal variation (Falge et al., 2001). Gaps in the incoming components of radiation, temperature and precipitation data were filled with data from a nearby meteorological station. Because we did not perform measurements of longwave radiation during the last months of 2004, \( R_n \) was not determined. Thus, for this period, \( R_n \) was modelled using Eq. (3), including the energy imbalance (assuming that it was equivalently distributed between \( R_n \) and \( G \)).

2.5. Data analysis

Daily potential evapotranspiration (\( E_T \)) was estimated by the Penman–Monteith combination equation (Monteith, 1965):

\[
\lambda E_T = \frac{\Delta (R_n - G) + \rho C_p D / \tau_a}{\Delta + \gamma (1 + \tau_a / \tau_s)}
\]  

(4)

where \( \Delta \) is the rate of change of saturation vapour pressure with temperature (kPa K\(^{-1}\)), \( D \) is the vapour pressure deficit (kPa), \( \tau_a \) is the aerodynamic resistance (d m\(^{-1}\)), \( \gamma \) is the psychometric constant (kPa K\(^{-1}\)) and \( \tau_s \) is the surface resistance (d m\(^{-1}\)). The measured available energy (\( R_n - G \)) was converted to MJ m\(^{-2}\) d\(^{-1}\). Calculations of \( \Delta, \rho \) (kg m\(^{-3}\)), \( C_p \) (MJ kg\(^{-1}\) K\(^{-1}\)), \( D \), \( \gamma \) and \( \tau_a \) were performed following Allen et al. (1998). To determine the aerodynamic resistance, the seasonal changes in canopy height were accounted for. The surface resistance was assumed to be 70 s m\(^{-1}\), the FAO/Allen value for a grass reference crop under well-watered conditions (Allen et al., 1998).

In order to calculate the daily values of the Priestley–Taylor coefficient (\( k_E / \lambda E_Q \)), the equilibrium latent heat flux (\( \lambda E_{eq} \)) was determined using Eq. (5) (Priestley and Taylor, 1972):

\[
\lambda E_{eq} = \frac{\Delta (R_n - G)}{\Delta + \gamma}
\]  

(5)

To assess the physiological control of the water exchange between the ecosystem and atmosphere, half-hourly surface conductance (\( g_s \)) was calculated by inverting Eq. (4). Aerodynamic conductance (\( g_a \)) was obtained from sonic anemometer outputs as (Monteith and Unsworth, 1990):

\[
\frac{1}{g_a} = \frac{u}{u^2} + 6.2u^{-0.67}
\]  

(6)

where \( u \) is the friction velocity (m s\(^{-1}\)) and \( u \) is the mean wind speed (m s\(^{-1}\)). Daily values of \( g_a \) were derived from the average of daytime observations, as an indicator of daytime surface conductance. Conductances in m s\(^{-1}\) were converted to mmol m\(^{-2}\) s\(^{-1}\) using the ideal gas law.

The coupling between the ecosystem surface and the atmospheric boundary layer was estimated through the decoupling coefficient (\( \Omega \)), calculated on a half-hourly basis according to Jarvis and McNaughton (1986):

\[
\Omega = \frac{(\Delta + \gamma)}{\Delta + \gamma (1 + g_a / g_s)}
\]  

(7)

Daily values of decoupling coefficient were also obtained by averaging all daytime observations.

3. Results and discussion

3.1. Meteorology, leaf area index and aboveground biomass

The variations of the major environmental conditions and plant parameters during the two hydrological years are shown in Fig. 1. The seasonal pattern of the daily-integrated shortwave radiation (\( R_n \)) was similar in the two hydrological years, with minimum values (1–5 MJ m\(^{-2}\) d\(^{-1}\)) in cloudy winter days and maximum values (around 30 MJ m\(^{-2}\) d\(^{-1}\)) in the summer. The number of cloudy days was clearly lower in 2005, especially between the days of the year 1 and 60. Along with \( R_n \), maximum air temperatures (\( T_{max} \)) varied from 10 to 15 °C, in the winter, to extreme values (above 35 °C), during the summer. The minimum air temperatures (\( T_{min} \)) reached ca. -5 °C in winter, whereas \( T_{min} \) in summer was consistently well above 10 °C. The mean air temperature for the hydrological years of 2004–2005 and 2005–2006 was 14.7 and 14.5 °C, respectively.

Precipitation differed markedly between the two hydrological years, in both the amounts and the patterns of rain. The first hydrological year was dry, with 364 mm of total precipitation (45% below the long-term mean) and the second was normal, with 751 mm of total precipitation (only 12% above the long-term mean). A long period, from DOY 340 to 80, without significant rainfall, occurred during the dry hydrological year of 2004–2005 (Fig. 1g–h). This led to severe plant water deficits by late winter as the soil moisture content dropped below 10% in the upper 15 cm soil layer. In contrast, precipitation was uniformly distributed during both the winter and early spring periods of the normal year (2005–2006), leading to high soil moisture levels (Fig. 1h–i). Isolated rain events during late spring and summer periods led to short-term peaks in soil moisture. Large rain events in late spring of 2006 combined with a subsequent rain pulse during the summer (Fig. 1i) led to consistently higher soil moisture than in the previous summer. It is important to mention that
the higher soil moisture levels of the upper 30 cm soil layer (Fig. 1g–i), especially during the water-stressed periods, showed the capability of this soil to hold water at the depth of 30 cm, where a relatively dense layer of clay is present. In fact, even during the summer, the soil moisture at 30 cm depth did not drop below 18%.

There was a clear difference in grass production between hydrological years (Fig. 1j–l). Overall, LAI and aboveground biomass responded well to the variations in soil moisture and temperature. Maximum standing biomass was only 0.157 kg m\(^{-2}\) during the dry year (2004–2005) (Fig. 1k), but reached a maximum value of 0.512 kg m\(^{-2}\) during the normal year (2005–2006) (Fig. 1l). Hence, the LAI at the peak growth period averaged 0.4 and 2.5 in the dry and normal years, respectively. Although measurements of LAI were not performed before April 2005, LAI probably did not reach values above 1 because of low soil moisture in combination with winter grazing. The maximum LAI of the dry year laid within a range of values published for semi-arid grasslands (e.g., Li et al., 2006), while for the normal year the maximum LAI was similar to those observed in a Mediterranean annual grassland in California (Baldocchi et al., 2004). In both hydrological years, the end of the senescence of the C3 grasses occurred by the beginning of May (ca. DOY 132). Thereafter, the warm-season C4 grass, C. dactylon L., remained green but with highly variable LAI. In 2005, the LAI of the C4 grass between DOY 132 and 170 was above 0.1, but then decreased smoothly to approach zero at the end of the summer (Fig. 1k). In contrast, in 2006, the grass was cut on DOY 144, reducing the LAI of the C4 grass from ca. 0.3 to 0.15 (Fig. 1l). The hay was left on the ground partially covering the green leaves until DOY 162, when it was finally removed. Although, we did not measure the effect of hay coverage, the LAI of uncovered green leaves would be slightly lower than 0.15. On the DOY 163, a strong rain pulse led to a quick development of the C4 grass but the amount of rain was not enough to allow germination and support growth of C3 annuals. On DOY 188, the LAI of the C4 grass was 0.35 and remained almost constant until DOY 213. Thereafter, several grazing events gradually reduced its value to about 0.1, on DOY 217. An increase in LAI was observed again on DOY 270 (beginning of autumn), because the previous heavy rain promoted the C3 grass seed.
germination, starting a new cycle of the annual C3 grasses. The lower temperatures in the beginning of autumn in combination with the competition from the C3 grasses, led to the death of the aboveground parts of the C4 grass.

3.2. Seasonal and interannual variation in energy fluxes

Fig. 2 shows the seasonal variation in energy fluxes over the course of the study. The seasonal pattern of $R_n$ followed closely the variation of $R_s$ (Fig. 1a–c), showing maximum values (10–15 MJ m$^{-2}$ d$^{-1}$) in the summer and minimum values, close to zero, in late autumn and early winter. Daily $G$ (Fig. 2d–f) was generally negative in autumn and winter, indicating an important loss of energy from the soil to the atmosphere. In spring and summer, it was predominantly positive, except for most cloudy days. The temporal variation of $H$ was concomitant with the pattern of change of $R_n$. In late autumn and winter, $H$ was close to zero, often negative, but increased afterwards to daily values above 8 MJ m$^{-2}$ in the summer (Fig. 2g–i). The negative values of $H$ reflected the transfer of heat from the atmosphere to the ecosystem.

The minimum daily values of $\lambda E$ (1–2 MJ m$^{-2}$) were recorded during both winters, essentially due to low atmospheric evaporative demand. However, in spring and summer the rates of $\lambda E$ were clearly controlled by the soil moisture conditions and canopy growth. Therefore, the drought conditions in late winter and early spring of 2005 led the ecosystem to transfer $\lambda E$ at a maximum rate of about 6 MJ m$^{-2}$ per day, which was approximately half of the maximum rate observed in 2006 (11 MJ m$^{-2}$ d$^{-1}$) with abundant soil moisture and a denser canopy. In the summer of 2006, higher soil moisture, in combination with higher LAI, resulted in consistently greater $\lambda E$ than in the previous summer.

In both hydrological years, $H$ switched to $\lambda E$ by about the first rain events that increased soil moisture and consequently propitiated seed germination of the C3 community. The switch from $\lambda E$ to $H$ occurred at the same time as the senescence of the C3 herbaceous species. Similar changes, essentially associated with the variation in soil moisture, were also observed in a Mediterranean grassland in California (Valentini et al., 1995) and in a temperate grassland in Canada (Wever et al., 2002).

The seasonal and interannual differences in energy fluxes were largely imposed by the variability in soil moisture and canopy growth. To better understand these differences, we divided each hydrological year into three main periods of growth (Table 1). Pre-growth was defined as the period between 1 October and 31 December, when the C3 grasses germinated, began to grow, but remained short. The Growth period, when maximum plant growth was observed, occurred between 1 January and the end of the C3 plant senescence (12 May, DOY 132, in both hydrological years). The remaining period, until 30 September corresponding to the time when only the warm-season C4 species was present, was called Dry.

Soil water deficits combined with low LAI in the Growth and Dry periods of 2005 led to substantially lower cumulative $R_n$ values as compared with those from the same periods of 2006. This resulted in a considerable annual difference in $R_n$,
The energy partitioning was different between the Growth and hydrological periods (Table 1). The fraction G/Rn increased from the pre-growth to the Dry period, in both hydrological years, but only reached the maximum of 0.1 in the Dry period of 2005 (Table 1). On an annual basis, the portion of G in 2004 and 2005 was very low, 0.02 and 0.01 for the 2004–2005 and 2005–2006 hydrological years, respectively. A higher, but small, annual fraction of 0.05 was reported by Li et al. (2006).

The fractions λE/Rn and H/Rn varied inversely from the Pre-growth to the Dry periods (Table 1). Although in the Pre-growth and Growth periods the ratio of λE/Rn dominated over H/Rn, in the Dry periods the H/Rn was dominant. The degree of dominance was substantially different for each hydrological period as reflected by the Bowen ratios (the ratios between H and λE), β (Table 1). The major difference was found in the Growth and Dry periods, where β varied from 0.89 to 4.54, in the first hydrological year, and from 0.12 to 2.31, in the second, indicating higher soil water availability during 2006.

Table 1 – Comparison of major meteorological and biometeorological parameters at different periods of growth for the two hydrological years

<table>
<thead>
<tr>
<th>Period</th>
<th>Pre-growth</th>
<th>Growth</th>
<th>Dry</th>
<th>Pre-growth</th>
<th>Growth</th>
<th>Dry</th>
</tr>
</thead>
<tbody>
<tr>
<td>T (°C)</td>
<td>11.1</td>
<td>9.6</td>
<td>21.9</td>
<td>11.2</td>
<td>9.5</td>
<td>21.3</td>
</tr>
<tr>
<td>Tn (°C)</td>
<td>13.3</td>
<td>12.5</td>
<td>29.8</td>
<td>13.6</td>
<td>12.0</td>
<td>26.5</td>
</tr>
<tr>
<td>Rn (M m⁻²)</td>
<td>1048.0</td>
<td>2222.6</td>
<td>3585.2</td>
<td>939.4</td>
<td>2082.2</td>
<td>3452.8</td>
</tr>
<tr>
<td>PPT (mm)</td>
<td>239.4</td>
<td>83.2</td>
<td>41.0</td>
<td>352.5</td>
<td>290.2</td>
<td>105.8</td>
</tr>
<tr>
<td>αv (%)</td>
<td>24.1</td>
<td>10.8</td>
<td>5.3</td>
<td>26.0</td>
<td>31.1</td>
<td>10.1</td>
</tr>
<tr>
<td>D (kPa)</td>
<td>0.35</td>
<td>0.42</td>
<td>1.52</td>
<td>0.32</td>
<td>0.25</td>
<td>1.36</td>
</tr>
<tr>
<td>Rn (M m⁻²)</td>
<td>235.2</td>
<td>775.7</td>
<td>1110.0</td>
<td>266.7</td>
<td>893.3</td>
<td>1455.1</td>
</tr>
<tr>
<td>H (M m⁻²)</td>
<td>69.0</td>
<td>2.5</td>
<td>111.6</td>
<td>59.5</td>
<td>11.7</td>
<td>84.7</td>
</tr>
<tr>
<td>R (M m⁻²)</td>
<td>59.2</td>
<td>291.4</td>
<td>815.0</td>
<td>21.9</td>
<td>96.0</td>
<td>793.4</td>
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<tr>
<td>λE (M m⁻²)</td>
<td>205.3</td>
<td>331.4</td>
<td>239.6</td>
<td>216.2</td>
<td>530.7</td>
<td>432.4</td>
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<tr>
<td>G/Rn</td>
<td>-0.29</td>
<td>0.00</td>
<td>0.10</td>
<td>-0.22</td>
<td>-0.01</td>
<td>0.06</td>
</tr>
<tr>
<td>H/Rn</td>
<td>0.25</td>
<td>0.38</td>
<td>0.73</td>
<td>0.08</td>
<td>0.11</td>
<td>0.58</td>
</tr>
<tr>
<td>λE/Rn</td>
<td>0.87</td>
<td>0.42</td>
<td>0.22</td>
<td>0.81</td>
<td>0.59</td>
<td>0.30</td>
</tr>
<tr>
<td>β = H/λE</td>
<td>0.49</td>
<td>0.89</td>
<td>4.54</td>
<td>0.45</td>
<td>0.12</td>
<td>2.31</td>
</tr>
<tr>
<td>E (mm)</td>
<td>83.3</td>
<td>134.4</td>
<td>98.4</td>
<td>87.9</td>
<td>215.8</td>
<td>177.6</td>
</tr>
<tr>
<td>Eₚ (mm)</td>
<td>128.3</td>
<td>292.7</td>
<td>739.8</td>
<td>133</td>
<td>263.2</td>
<td>675.2</td>
</tr>
<tr>
<td>Eeq (mm)</td>
<td>73.9</td>
<td>182.1</td>
<td>278.3</td>
<td>76.7</td>
<td>209.3</td>
<td>388.9</td>
</tr>
<tr>
<td>E/PPT</td>
<td>0.35</td>
<td>1.62</td>
<td>2.40</td>
<td>0.25</td>
<td>0.74</td>
<td>1.68</td>
</tr>
<tr>
<td>E/Rn₂</td>
<td>1.13</td>
<td>0.74</td>
<td>0.35</td>
<td>1.15</td>
<td>1.03</td>
<td>0.46</td>
</tr>
<tr>
<td>gₑ (mmol m⁻² s⁻¹)</td>
<td>290.3</td>
<td>176.6</td>
<td>30.3</td>
<td>346.7</td>
<td>392.3</td>
<td>64.4</td>
</tr>
<tr>
<td>Ω</td>
<td>0.53</td>
<td>0.38</td>
<td>0.12</td>
<td>0.56</td>
<td>0.59</td>
<td>0.21</td>
</tr>
</tbody>
</table>

On an annual basis, the largest portion of Rn was consumed in H (0.55), in the first hydrological year, and in λE (0.45), in the second (Table 1). It is important to mention that the differences in energy closure between the two years may have introduced some uncertainties in the magnitude of the ratios of λE/Rn and H/Rn. Nevertheless, our results are within the expectable range, giving the contrasting climatological conditions observed. In this study, the annual ratios of λE/Rn (0.37 and 0.45) were lower than those reported by Burba and Verma (2005) for a native tallgrass prairie in Oklahoma, and higher than the ratio obtained by Li et al. (2006) for a semi-arid grassland.

The diurnal pattern of energy fluxes (Fig. 3) showed a distinct seasonal and interannual variation. As an example, we selected three periods of the main stages of plant growth; a period with relatively short vegetation (January, DOY 1–31), the period of maximum plant growth (April, DOY 91–120) and a period where the only plant species present was C. dactylon (July, DOY 182–212). In 2005 and 2006, Rn increased from values around 300 W m⁻² in January (Fig. 3a and b) to values around 600 W m⁻² in April (Fig. 3b and c). A further decrease occurred in July (Fig. 3c and d), but was less pronounced in 2006 than in 2005.

The partitioning of Rn at midday differed markedly between the selected periods and years (Table 2). Even though the soil was fairly dry in January 2005, the ratio λE/Rn was similar to that of January 2006, probably due to the low
atmospheric evaporative demand in winter. However, the short canopy combined with low soil moisture and temperature meant that $G/R_n$ dominated in January 2005. Even in January 2006, the ratio $G/R_n$ shared the dominance with $\lambda E/R_n$. This reveals that $G$ was preponderant at midday during those periods. In a semi-arid steppe in central Mongolia, Li et al. (2006) also found that $G$ had an important role in energy partitioning at midday, due to the short canopy. In April 2005, very low soil moisture content, but higher amount of vegetation than in January, switched the dominance to $H/R_n$ (Table 2). In contrast, $\lambda E/R_n$ strongly dominated in April 2006 as a consequence of the dense vegetation (LAI = 2.5) and adequate soil moisture, which led to very low ratios of $H/R_n$ and $G/R_n$. With the reductions in soil moisture from April to July, $H/R_n$ was dominant in July of both years, but with increased importance of $\lambda E/R_n$ in July 2006 (Table 2).

3.3. Seasonal and interannual variation in evapotranspiration

The seasonal pattern of daily-integrated evapotranspiration ($E$) is shown in Fig. 4a–c. To characterize the difference between the hydrological years of the study, we estimated the potential evapotranspiration ($E_P$), derived from Eq. (4), which represents the maximum expected evapotranspiration from a wet soil-plant surface. After the first rains in autumn, adequate soil moisture levels led to the germination of annuals and $E$ reached potential rates. Toward the spring a substantial difference in the temporal variation of $E$ was observed between the years as a result of the contrasting soil moisture conditions and LAI. There was a clear separation between $E$ and $E_P$ early in the winter of 2005. Nevertheless, in 2006, $E$ proceeded at potential rates until the early spring (around DOY 120), when a maximum rate of 4.5 mm per day was achieved. The maximum daily rate (2.8 mm d$^{-1}$) of 2005 was obtained around DOY 150 after a strong rain pulse, although, the rates were not significantly different (2.3 mm d$^{-1}$) throughout the period of maximum LAI.

The maximum rate of evapotranspiration during the normal year (2005–2006) was similar to those recorded in a Mediterranean annual grassland in California (Baldocchi et al., 2004), in the 1998 growing season of a temperate grassland in Canada (Wever et al., 2002) and in a native tallgrass prairie in Oklahoma (Burba and Verma, 2005). On the other hand, Li et al. (2006) measured a maximum rate of 2.8 mm d$^{-1}$ of 2005 was obtained around DOY 150 after a strong rain pulse, although, the rates were not significantly different (2.3 mm d$^{-1}$) throughout the period of maximum LAI.

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After the senescence of the C3 species, which occurred by about mid-spring (12 May, DOY 132) in both hydrological years, distinct patterns of $E$ were identified. In late spring 2005, rain pulses propitiated short-term peaks in $E$. Afterwards, $E$

![Table 2 – Midday (10:00–15:00 h) partitioning components (soil heat ($G$), sensible heat ($H$) and latent heat ($\lambda E$) fluxes) of $R_n$, maximum LAI and volumetric soil moisture content ($\theta_v$), averaged from the upper 15 cm of soil, for the selected periods reported in Fig. 3](image)
decreased smoothly toward the end of the summer, when LAI of the C4 grass was approaching zero. Although very low soil moisture levels (<5% in the top 15 cm of the soil), E was well above 0.4 mm per day in mid summer and around 0.2 mm per day at the end of the summer. Given that the soil was very dry and compacted at the top, and with considerable amount of dead grass on it, we can speculate that soil evaporation would be very low. Thus, those low, but considerable rates of E, can be apparently explained by the physiological activity of the drought-tolerant C4 grass, C. dactylon, which has the ability to extend its roots deeply into the soil to enhance water absorption. The summer physiological activity is corroborated by the estimated photosynthetic rates, calculated using the method proposed by Reichstein et al. (2005), which varied from ca. 0.6 g C m⁻² per day in mid summer to ca. 0.2 g C m⁻² per day at the end of the summer. The daily water-use efficiencies (the ratio between the daily photosynthesis and the daily E) varied generally from 1.2 to 2 mmol CO₂ mol⁻¹ H₂O during the summer. In a previous study under Mediterranean conditions, Mamolos et al. (2001) also found that C. dactylon was active during the summer, even growing in the field at very low values of soil matric potential in the upper soil layer. In contrast to our results, Baldocchi et al. (2004) recorded daily rates of E close to zero during the summer, in a Mediterranean annual grassland with absence of warm-season species.

In late spring 2006, the effects of grass cutting on E were well evident between DOY 144 and 162. Since it reduced the LAI and the evapotranspiration was limited due to the dead grass left on the soil-plant surface, the daily rates were substantially reduced to around 0.5 mm per day (Fig. 4c). When the grass was finally removed, on DOY 162, subsequent strong rain events raised the soil moisture and the LAI of the C4 grass rapidly increased to 0.35, leading to evapotranspiration rates of about 2 mm per day. Later, after DOY 213, the substantial reduction in E, from about 1.4 to 0.7 mm per day, resulted mainly from several grazing events that reduced the LAI of the C4 grass to 0.1 (Fig. 4c). Significant reductions in the daily E, due to reductions in transpiration by defoliation, have also been reported for a grazed prairie in Kansas, dominated by C4 grasses (Bremer et al., 2001). This occurrence provided, once more, a clear evidence of the relevant role of the warm-season C4 grass in controlling E during the summer. An increasing trend in E during late summer was related to large rain events, which also promoted the emergence of C3 plants due to seed germination (Fig. 4c).

The contrasting seasonal and annual trends of E were well supported by the variations in the estimated surface conductance (gₛ) (Fig. 4d–f). Under well-watered conditions, gₛ reached maximum values and E occurred at near potential rates, but with significant day-to-day variation (200–600 mmol m⁻² s⁻¹). This variation reflected the measurements from dry or wet surfaces and under cloudy or sunny days (Baldocchi et al., 2004). In contrast, when soil moisture was not adequate to sustain evapotranspiration at potential rates, gₛ decreased, showing a less day-to-day variation and reaching minimum values (of about 10 mmol m⁻² s⁻¹) in the summer of both years. However, during the summer of 2006, gₛ was generally higher that in the previous summer due to greater soil moisture and higher LAI. The mean gₛ values for different periods of growth of both hydrological years are shown in Table 1.

Fig. 5 shows the cumulative evapotranspiration and precipitation for both hydrological years. For the dry year (2004–2005), cumulative E and precipitation were 316 and 364 mm, respectively. During the normal year (2005–2006), E and precipitation amounted respectively to 481 and 751 mm. In Table 1, we show the budgets and the water balances (E/PPT) for the three selected periods of growth, as well as the annual values for both hydrological years. During the Dry period, E was 31% and 37% of the annual evapotranspiration of the first and the second hydrological years, respectively. The accumulated E for the dry year is close to the annual sums reported for an Mediterranean annual grassland in California (Baldocchi et al., 2004), but higher than the annual evapotranspiration...
measured in other grasslands ecosystems (Wever et al., 2002; Li et al., 2006). Much higher amounts of annual evapotranspiration, even relatively to our normal year, were reported for native tallgrass prairies in the summer rain monsoon climate of North America (Burba and Verma, 2001, 2005).

We found a large difference in the annual water balances between years (Fig. 5, Table 1). Although, negative annual balances were observed in both hydrological years, in the dry year $E$ was 87% of the precipitation and only 64% in the normal year. The better closure in the dry year may be attributed to lower losses by runoff. Furthermore, a long period with soil at field capacity during the normal year may have increased the water infiltration into the soil, where it may have percolated to the water table (Law et al., 2002).

Despite negative annual balances, the Growth period of the 2004–2005 hydrological year and the Dry periods of both years, had positive balances (Table 1). The highest balance (2.40) was attained in the Dry period of 2005. In the Dry period of 2006, the balance was considerably lower (1.68), mainly due to the large inputs of water at the end of that period that in practice were not evapotranspired within the period. Excluding that period of rain, the balance becomes similar to that in the Dry period of 2005. Based on these results, here we can highlight, once more, the role of the warm-season C4 grass after the senescence of the C3 species. For example, the difference between $E$ and precipitation in the Dry period of 2005 was about 57 mm (Table 1), which means that the soil lost an extra 57 mm of water. The same exercise for the Dry period of 2006 yielded 72 mm, but excluding that period of rain, the balance was left covering partially the soil-plant surface (Fig. 6b and d). In general, $E$ varied from 0.9 to around 1.2 (close to the Priestley–Taylor constant, 1.26), but declined substantially when volumetric soil moisture content dropped below 14% and 13% in the 2004–2005 and 2005–2006 hydrological years, respectively. The surface conductance (Fig. 6a and b) also showed a similar trend.

Since the results were similar for both hydrological years, we assumed, from a conservative point of view, a critical $\theta_u$ of 14% for this grassland. This critical $\theta_u$ agrees reasonably well with that (13%) reported for the Mediterranean annual grassland in California (Baldochi et al., 2004). Similarly, Hunt et al. (2002) found that the evaporative fraction of a tussock grassland, in New Zealand, declined sharply when soil moisture dropped below the threshold of 12%. However, while Baldocchi et al. (2004) found that $\lambda E/\lambda E_{eq}$ decreased precipitously below the critical $\theta_u$, we observed a gradual decrease, similar to that observed in the tussock grassland. This suggests that the physiological activity of the warm-season C4 grass may have attenuated the decreases in evapotranspiration during and after the senescence of the C3 species. In addition, the significant rates of evapotranspiration observed during the summer periods explain the relatively high ratios of $\lambda E/\lambda E_{eq}$ at the $\theta_u$ lowest levels.

In the 2005–2006 hydrological year, the lowest values of $\lambda E/\lambda E_{eq}$ and $g_s$ were measured after grass cutting, when the grass was left covering partially the soil-plant surface (Fig. 6b and d). The period after the heavy summer rain, in 2006, is also shown in Fig. 6b and d. In general, $\lambda E/\lambda E_{eq}$ and $g_s$ declined with the gradual decrease in soil moisture after the heavy rain event. However, the ratios of $\lambda E/\lambda E_{eq}$ increased when the soil moisture levels approached 13%. This was the result of a long period with cloudy conditions that reduced $\lambda E_{eq}$, while $\lambda E$ remained fairly unchanged.

3.4.2. Effects of canopy development

The dependence of surface conductance and/or evapotranspiration on canopy development has been observed in numerous studies across the world (Kelliher et al., 1993; Rosset et al., 1997; Saigusa et al., 1998; Burba and Verma, 2005; Li et al., 2006). In this study, we found a strong linear correlation between the evaporative fraction and the leaf area index (Fig. 7), under abundant soil moisture and dense

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**Fig. 5** – Cumulative precipitation (PPT) and evapotranspiration (E) for (a and b) the 2004–2005 hydrological year and (b and c) the 2005–2006 hydrological year.
vegetation (LAI > 1). The changes in LAI explained 73% of the variance found in $\lambda E/R_n$ ($P < 0.01$). The importance of LAI in controlling the evaporative fractions, under abundant soil moisture, was also well evident ($R^2 = 0.58$) in a native tallgrass prairie in Oklahoma (Burba and Verma, 2005).

Under well-watered conditions, but when LAI was less than 1 (vegetation height less than 3 cm), the evaporative fractions were relatively high (not shown). This may be explained by a large contribution of soil evaporation and a presence of a canopy with a great proportion of young leaves with lower stomatal resistance (Rosset et al., 1997).

In this study, we could not observe a clear influence of changes in LAI on surface conductance because of a large day-to-day variation. In fact, this problem has also been found in the study of other Mediterranean grassland (Baldocchi et al., 2004).

3.4.3. Effects of vapour pressure deficit

Fig. 6 illustrates the dependence of surface conductance on vapour pressure deficit in the two years of the study. It is evident that as soon as $D$ increased, $g_s$ became limited. However, the sensitivity of these responses is mainly affected by the soil moisture conditions. A close inspection shows that for $D < 0.5$ kPa there was no distinct effect on surface conductance because of the well-watered conditions and hence a large day-to-day variation in $g_s$. This implies that in ecosystems such as this, $D$ plays a stronger role in controlling $g_s$ when the soil moisture is not adequate, than otherwise. To examine this, we assessed the relationship between $g_s$ and $D$ for short periods under limiting soil moisture conditions, when volumetric soil moisture content was nearly constant (Fig. 9). For all the cases, it was found that more than 78% of the variance in $g_s$ was explained by the changes in $D$ ($P < 0.0001$). Considering the consequences of summer drought, David et al. (2004) also reported a strong linear relationship between midday canopy resistance and $D$ for an evergreen oak tree near Évora, Portugal.

To quantify the relative importance of $D$ in controlling the evapotranspiration on a daily and seasonal basis, we
determined the decoupling coefficient ($\Omega$) (Jarvis and McNaughton, 1986). This coefficient varies from 0 to 1; when it approaches 0, the ecosystem surface and the atmosphere are aerodynamically coupled and the evapotranspiration proceeds at rates imposed by $D$ and $g_s$; when it approaches 1 the ecosystem surface and the atmosphere are aerodynamically decoupled and the evapotranspiration is controlled by the available energy.

On a seasonal basis, the daily values of $\Omega$ varied from a maximum of about 0.7, under ample soil moisture and low $D$, to a minimum of 0.05 and 0.1 during the summers of 2005 and 2006, respectively (Fig. 10). These low values of $\Omega$ indicate a strong control of evapotranspiration by $D$ and $g_s$. In contrast, during the wet periods the available energy was the main driver of evapotranspiration, but $D$ was still reasonably high. Consistent with our study, Valentini et al. (1995) reported that $\Omega$ of a Mediterranean annual grassland varied from 0.8, early in the growing season, to 0.1 as the soil moisture decreased. In Table 1 it is presented the mean $\Omega$ values at different periods of growth for both hydrological years.

The diurnal pattern of $\Omega$ and $g_s$ showed a distinct seasonal and interannual variation (Fig. 11). As an example, we selected...
typical days of periods with short vegetation and low \( E \) (DOY 30, 2005 and DOY 38, 2006), periods near the maximum LAI and \( E \) (DOY 97, 2005 and DOY 103, 2006) and summer periods where only the warm-season C4 species was present (DOY 213, 2005 and DOY 212, 2006). Overall, the diurnal pattern of \( V \) and \( g_s \) was similar. In 2006, \( V \) remained fairly constant through the day on DOY 38 and 103, indicating that water was available in the soil. In contrast, on DOY 30 and 97 of 2005, \( V \) decreased as the day progressed, revealing an increasing control of \( D \) and \( g_s \) on \( E \). This suggests that, under soil moisture deficits, the plants reduced the stomatal conductance during the afternoon in response to high temperatures and \( D \), preventing excessive losses of water, as commonly reported (e.g., Verhoef et al., 1996; Loustau et al., 1996).

On DOY 97 of 2005, \( \Omega \) was considerably high due to the relatively high soil moisture that resulted from previous late winter rainfall. However, a few days afterwards, \( \Omega \) was substantially lower, showing a stronger decreasing trend as the day progressed. The peaking values of \( \Omega \) and \( g_s \) early in the morning, suggest evaporation of dew (Gu et al., 2005). In the summer periods (DOY 213, 2005 and DOY 212, 2006) the decreasing trend from the morning to the afternoon was also evident. This decreasing trend was observed in other ecosystems (Wever et al., 2002; Gu et al., 2005).

4. Conclusions

The observation of two climatologically contrasting hydrological years (one dry, 2004–2005, and another normal, 2005–2006) offered a unique opportunity to understand how interannual climate variability, namely drought, affects the energy and water vapour exchange between a grassland and the atmosphere under a Mediterranean climate. The seasonal and interannual variation in energy partitioning was primarily controlled by soil water availability and canopy growth. In the dry year, the drought in winter and early spring limited the grass production, and as a consequence of these conditions most of the annual \( R_n \) was consumed in \( H \), as would be the case in a semi-arid climate. In contrast, \( \lambda E \) dominated the annual energy partitioning in the normal year. Although, on an annual basis \( G \) consumed a minor part of \( R_n \), we found that during daytime it played a stronger role, even dominating the energy partitioning in periods with short grass and low soil temperatures.

During the winter and early spring periods of the normal year, \( E \) proceeded at potential rates and reached a maximum rate twice as high as the rate recorded in the dry year, when \( E \) remained clearly below the potential rate. Between the end of the senescence of the C3 annual grasses, in mid-spring, and the early autumn, the warm-season C4 grass, \( C. \; dactylon \; L. \), played a preponderant role in maintaining substantial rates of \( E \). We estimated that the presence of this C4 grass, during that period, contributed to an additional \( E \) probably equivalent to around 18% and 23% of the total \( E \) of the dry and normal years, respectively, thus greatly contributing for soil water depletion. However, further investigation is needed in order to better understand the role of this invasive species during the summer. For example, measurements of leaf stomatal conductance, maximum depth of its roots and soil water content for the entire soil profile, would be valuable inputs of information.

Fig. 11 – Seasonal and interannual variation in diurnal pattern of surface conductance (\( g_s \)), (a and b), and decoupling coefficient (\( \Omega \)), (c and d), on typical cloudless or near-cloudless days. The figure shows periods with short vegetation and low evapotranspiration (DOY 30, 2005 and DOY 38, 2006), periods near the maximum LAI and evapotranspiration (DOY 97, 2005 and DOY 103, 2006) and summer periods where only the C4 species was present (DOY 213, 2005 and DOY 212, 2006). UTC time was used.
The evapotranspiration of the grassland was primarily affected by the soil water availability. We found that whenever the average volumetric soil moisture content in the top 15 cm of the soil profile dropped below 14%, the Priestley–Taylor coefficient and surface conductance were substantially reduced. Under these conditions, the evapotranspiration did not proceed at potential rates. Thus, this critical value can be empirically used to indicate whether or not the soil moisture is a limiting factor. When soil moisture was not limiting, the long-term changes in the evaporative fractions were mainly explained by changes in LAI (when LAI > 1) and the daily rates of E were predominantly controlled by the available energy. As soon as soil moisture became limiting, E was strongly controlled by the vapour pressure deficit and surface conductance.

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